



Proxy Voting Record
AlphaNorth Growth Fund
12 months ended June 30, 2017

Name of Issuer	Ticker Symbol	CUSIP	Meeting Date	Identification of the Matter(s) to be Voted on	Proposed By	Whether Investment Fund Voted on the Matter(s)	How the Investment Fund Voted on the Matter(s)	Votes by Investment Fund For or Against the Recommendations of the Issuer
Street Capital Group.	SCB	86326Q108	June 7, 2017	<ol style="list-style-type: none"> Election of directors: Allan C. Silber, W. Edward Gettings, Moris Perlis, Ronald Appleby, Lea Ray, Ron Lalonde, Tom Birmingham Appointment of Ernst & Young LLP as Auditors of the Corporation for the ensuing year and authorizing the Directors to fix their remuneration. 1997 Stock Option Plan: The approval of all unallocated options under the 1997 Stock Option Plan for three years from the date of the Meeting, as more particularly described in the accompanying information circular 	Management	Did Not Vote		
Blackbird Energy Inc.	BBI	09228A104	March 8, 2017	<ol style="list-style-type: none"> To set the number of Directors to six. Election of Directors: Garth Braun, William L. Macdonald, Ron Schmitz, Sean Campbell, Kevin Andrus, Burton Joel Ahrens. Re-appointment of Davidson & Company LLP, Chartered Professional Accountants as Auditors of the Corporation for the ensuing year and authorizing the Directors to fix their remuneration. To re-approve the Corporation's Incentive Stock Option Plan, as described in the Management Information Circular. To approve the Corporation's Advance Notice Policy, as described in the Management Information Circular. To approve an Amendment to the Corporation's Articles, as described in the Management Information Circular. To transact such further or other business as may properly come before the Meeting or any adjournment thereof. 	Management	Did Not Vote		
Canadian Overseas Petroleum Ltd.	XOP	13643D107	June 5, 2017	<ol style="list-style-type: none"> Election of Directors: Arthu S. Millholland, Massimo C. Carello, Harald H. Ludwig, John F. Cowan, Viscount William Astor. To appoint Deloitte LLP as auditor of the Corporation for the ensuing year and authorizing the directors to fix their remuneration. To approve the stock option plan of the Corporation, as described in the 2017 management proxy circular of the Corporation. 	Management	Did Not Vote		
Tamarack Valley Energy	TVE	87505Y409	January 10, 2017	<ol style="list-style-type: none"> Share Issuance Resolution The ordinary resolution, the full text of which is set forth in Appendix A to the Management Information Circular of the Corporation dated December 5, 2016, approving the issuance of common shares of the Corporation pursuant to the plan of arrangement involving the Corporation, Spur Resources Ltd. ("Spur") and the shareholders of Spur. 	Management	Did Not Vote		
Tamarack Valley Energy	TVE	87505Y409	June 22, 2017	<ol style="list-style-type: none"> Election of Directors: Brian Schmidt, David MacKenzie, Floyd Price, Dean Setoguchi, Jeffrey Boyce, Noralee Bradley, John Leach, Ian Currie, Robert Spitzer 	Management	Did Not Vote		

				2. Appointment of Auditors The appointment of KPMG LLP, Chartered Accountants, as auditors to hold office until the close of the next annual meeting of the Corporation, at such remuneration as may be determined by the board of directors of the Corporation.				
Canada Carbon Inc.	CCB	134828102	November 29, 2016	<ol style="list-style-type: none"> To set the number of Directors at three. Election of Directors: Peter Miles, Buddy Doyle, Darcy Will. Appointment of BDO Canada LLP as Auditors of the Company for the ensuing year and authorizing the Directors to fix their remuneration. To ratify and approve the Company's existing Stock Option Plan. 	Management	Voted	With Management	
Copper Mountain Mining Corp.	CUM	21750U101	June 14, 2017	<ol style="list-style-type: none"> Election of Directors: James O'Rourke, Rodney Shier, John Tapics, Marin Katusa, Carl Renzoni, Allan Cloke, Bruce Auger Appointment of PricewaterhouseCoopers as Auditors of the Company for the ensuing year and authorizing the Directors to fix their remuneration. To consider and if appropriate, approve the non-binding resolution to accept the Company's approach to executive compensation. 	Management	Did Not Vote		
Evolving Gold	EVG	30050D404	November 30, 2016	<ol style="list-style-type: none"> Election of Directors: R. Bruce Duncan, William Majcher, Robert N. Horsley Appointment of Auditors To re-appoint Smythe LLP, Chartered Accountants, as Auditors of the Company for the ensuing year and to authorize the Directors to fix their remuneration. Other Matters To grant the proxyholder authority to vote at his/her discretion on any other business or amendment or variation to the previous resolutions. 	Management	Did Not Vote		
Mason Graphite	LLG	57520W100	December 15, 2016	<ol style="list-style-type: none"> Election of Directors: Tyrone Docherty, Geoffrey Scott Moore, Francois Laurin, Alastair Neill, Benoit Gascon, Guy Chamard Appointment of PricewaterhouseCoopers LLP as Auditors of the Corporation for the ensuing year and authorizing the Directors to fix their remuneration. 	Management	Did Not Vote		
Datawind	DW	23815L100	1. January 25, 2017	<ol style="list-style-type: none"> Election of Directors (Shareholders should note that, as a result of the Corporation's majority voting policy, a withhold vote is effectively the same as a vote against a director nominee in an uncontested election). Suneet Singh, Raja Singh Tuli, Jonathan Brockhouse, Jerry Tarasofsky Appointment of Auditors Appointment of MNP LLP as Auditors of the Corporation for the ensuing year and authorizing the directors of the Corporation to fix their remuneration. 	Management	Did Not Vote		
Neulion Inc.	NLN	64128J101	June 1, 2017	<ol style="list-style-type: none"> ELECTION OF DIRECTORS NOMINATED BY MANAGEMENT: Gabriel A. Battista, Robert E. Bostrom, John A. Coelho, James R. Hale, Shirley Strum Kenny, David Kronfeld, Nancy Li, Roy E. Reichbach, Charles B. Wang RATIFICATION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS 	Management	Did Not Vote		
Urthecast	UR	91731X102	May 4, 2017	<ol style="list-style-type: none"> To set the number of directors at 6 Election of Directors: Tye W. Burt, Wade Larson, William M. Evans, Greg Nordal, Letitia A. Long, James Topham Appointment of PricewaterhouseCoopers LLP as Auditors of the Company for the ensuing year and authorizing the Directors to fix their remuneration Receive and approve the Audited Consolidated Financial Statements of UrtheCast Corp. for the Year Ended December 31, 2016. 	Management	Did Not Vote		
Vogogo	VGO	928583103	October 14, 2016	<ol style="list-style-type: none"> On the ordinary resolution appointing Collins Barrow Calgary LLP as auditors of the 	Management	Did Not Vote		

				<p>Corporation at such remuneration as may be approved by the board of directors of the Corporation</p> <p>2. On the election of the following persons as directors of the Corporation for the ensuing year: Gino DeMichele, Dale Johnson, Thomas Burton English</p> <p>3. On the renewal and approval of the Corporation's stock option plan as set forth in the accompanying Information Circular of the Corporation</p>				
Spectral Medical	EDT	847577103	May 31, 2017	<p>1. Election of Directors: Paul Walker, Anthony Bihl III, Kevin Giese, Guillermo Herrera, William Stevens, Masayuki Kaneko</p> <p>2. The reappointment of PricewaterhouseCoopers LLP, Chartered Accountants, as auditor of the Company, to hold office until the next annual meeting of Shareholders, and for the authorization for the directors to fix the auditor's remuneration.</p>	Management	Did Not Vote		
Helius Medical	HSM	42328V108	September 15, 2016	<p>1. To set the number of Directors at 7.</p> <p>2. Election of Directors: Philippe Deschamps, Savio Chiu, Blane Walter, Mitch Tyler, Edward M. Straw, Huaizheng Peng, Thomas E. Griffin</p> <p>3. Appointment of BDO Canada LLP as Auditors of the Company for the ensuing year and authorizing the Directors to fix their remuneration.</p> <p>4. To approve, on a non-binding, advisory basis, the compensation of the Company's named executive officers as described in the accompanying proxy statement.</p> <p>5. To approve, on a non-binding, advisory basis, the frequency with which future stockholder advisory votes on the compensation of the Company's named executive officers will be held.</p> <p>6. To approve the Company's amended and restated stock incentive plan, approved and adopted on August 8, 2016 and the ratification of all issuance thereunder to date.</p>	Management	Did Not Vote		
Helius Medical	HSM	42328V108	June 5, 2017	<p>1. Election of Directors: Philippe Deschamps, Blane Walter, Mitchell E. Tyler, Edward M. Straw, Huaizheng Peng, Thomas E. Griffin</p> <p>2. To approve an amendment to the Company's Articles of Incorporation to effect, at the option of the Board of Directors, a reverse stock split of the Company's common stock at a ratio ranging from three-for-one (3:1) to seven-for-one (7:1), inclusive, with the effectiveness of the amendment or the abandonment of the amendment, to be determined by the Board of Directors prior to the date of our 2018 Annual Meeting of Shareholders.</p> <p>3. To ratify the selection by the audit committee of the Board of Directors of BDO USA, LLP as the independent registered public accounting firm of the Company for its fiscal year ending December 31, 2017.</p>	Management	Did Not Vote		
Global Energy Metals	GEMC	37890Y209	May 31, 2017	<p>1. To set the number of Directors at 5.</p> <p>2. Election of Directors: Raymond Castelli, Erin Chutter, Gaston Reymenants, Peter Reynolds, Paul Sarjeant.</p> <p>3. Appointment of Dale Matheson Carr-Hilton LaBonte LLP as Auditor of the Company for the ensuing year.</p> <p>4. To pass the Ratifying Resolutions, the details and full text of which are set out in the Company's Management Information Circular dated April 24, 2017.</p> <p>5. To give annual approval to the Company's Stock Option Incentive Plan, the details of which are set out in the Company's Management Information Circular dated April 24, 2017.</p>	Management	Did Not Vote		

James Bay Resources	JBR	470273103	June 20, 2017	<ol style="list-style-type: none"> 1. Election of Directors: Stephen Shefsky, Mark Brennan, Jon Pereira, Wayne Egan, Jean Gauthier, Adeniyi Olaniyan 2. Appointment of UHY McGovern Hurley LLP as auditors of the Corporation for the ensuing year and authorizing the directors of the Corporation to fix their remuneration. 	Management	Did Not Vote		
Ikkuma Resources	IKM	45173X103	June 14, 2017	<ol style="list-style-type: none"> 1. fixing the number of directors to be elected at the Meeting at six (6) 2. Election of Directors: David G. Anderson, Robert J. Dales, Tim de Freitas, Charle Gamba, William C. Guinan, Michael Kohut 3. the appointment of the auditors named in the Information Circular and to authorize the board of directors (the "Board") to fix their remuneration 4. the ordinary resolution, the full text of which is set forth in the Information Circular, approving the Corporation's stock option plan 5. At the discretion of the said proxyholder, upon any amendment or variation of the above matters or any other matter that may be properly brought before the Meeting or any adjournment or postponement thereof in such manner as the proxyholder in its sole judgment, may determine. 	Management	Did Not Vote		
Auryn Resources	AUG	05208W108	June 1, 2017	<ol style="list-style-type: none"> 1. To set the number of Directors at 8. 2. Election of Directors: Shawn Wallace, Ivan James Bebek, Gorodon J. Fretwell, Steve Cook, Keith Minty, Daniel T. McCoy, Antonio Arribas, Michael Kosowan 3. Appoint Deloitte LLP as Auditors. 4. Adoption of the Company's Share Option Plan. 5. To approve alteration of the Articles of the Company. 	Management	Did Not Vote		
eCobalt Solutions	ECS	27888J108	June 28, 2017	<ol style="list-style-type: none"> 1. To set the number of Directors at 6. 2. Election of Directors: Paul Farquharson, Scott Hean, Robert G. Metka, Gregory Hahn, David Christie, David Smith 3. Appointment of Smythe LLP, Chartered Accountants as Auditors of the Company for the ensuing year and authorizing the Directors to fix their remuneration. 4. To ratify and approve all unallocated options, rights or other entitlements under the Company's Stock Option Plan. 	Management	Did Not Vote		